GO WISE ACADEMY™

Course:
ML/TPF and Sanction Evasion Typologies and FIU Red Flags (PART III)

DELIVERED BY:

Renars Degro, CAMS

Seasoned expert with over 18 years of professional experience in finance, compliance, operational risk, and leadership across banking, investment, and regulatory domains.

OBJECTIVES:

- Understand common ML/TPF typologies, including layering, smurfing, trade-based money laundering, and cryptocurrency misuse, and how they manifest in financial activity.
- Identify sanctions evasion techniques involving false documentation, shell companies, front persons, and complex ownership structures.
- Recognize key red flag indicators highlighted by Financial Intelligence Units (FIUs) and international standard setters.
- Develop practical approaches to transaction review, alert handling, escalation, and case investigation.
- Explore how criminal schemes evolve in response to regulatory controls, technological developments, and market changes.
- Strengthen the application of risk-based monitoring, customer due diligence, and ongoing customer risk assessment.
- Enhance analytical thinking when assessing unusual customer behaviour and transaction patterns.
- Improve the quality of documentation and decision-making in financial crime investigations.

SKILLS DELIVERED:

- Detect and interpret key typologies used in ML, TPF, and sanctions evasion.
- Recognize structural and behavioral red flags related to customer and transaction activity.
- Enhance compliance frameworks with targeted controls and escalation logic.
- Apply FIU red flags and risk indicators in daily monitoring processes.
- Evaluate complex transaction chains and their risk components.
- Practical Case Studies.

DESIGNED FOR:

- AML/CFT compliance officers and MLROs
- Financial crime and fraud prevention specialists
- Risk management professionals
- Internal auditors and investigators
- Law enforcement and financial intelligence unit (FIU) analysts
- Senior management with oversight of AML/CTF framework
- FinTech, EMI, and virtual asset service provider (VASP) compliance staff

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TOPIC	DURATION
1. Overview of the course	5 min.

- Lector
- Objectives
- Skills delivered
- Programme Modules

2. Trade-based Money Laundering - car parts business	22 min.

- Introduction to Trade-based Money Laundering - Car Parts Business;
- Legitimate Uses and Illicit Exploits;
- Risks and Compliance Challenges.

3. Complex Ownership Structures and Sanction Evasion	23 min.

- Offshore layering, indirect control, and ownership calculations used to bypass screening and hide sanctioned influence.

4. Sanctions Evasion – Sham Divestments	21 min.

- How "divestments" are staged to reduce visible ownership while control remains, including proxy control signals and governance red flags.

5. Sanctions Evasion – Mislabeling and Re-routing of Goods	21 min.

- False origin declarations, rerouting through third countries, and manipulated documentation to move restricted goods.

6. Fake Charity Organizations and Donation Platforms	20 min.

- Charities used as laundering and diversion vehicles via fake donors, offshore "aid payments," and unverifiable beneficiaries.

7. Sanction Evasion – Know Your Cargo (KYCargo)	21 min.

- Maritime and logistics red flags: vessel anomalies, cargo mismatches, weak ports, and documentation inconsistencies.

TOPIC	DURATION
8. Sanctions Evasion Through Intermediaries • How brokers and resellers mask end-users and destinations, including intermediary behaviour patterns and EDD failures.	22 min.
9. Conclusions and frequently asked questions • In this sections the conclusion of the course are presented and answered to FQAs.	25 min.
TOTAL	**3 hours**

Duration:
3 hours (3 CPD Units)

Language:
English

Delivery mode:
Pre-Recorded

Skills level:
Expert