



## Course: Recovery Planning and Reporting Requirements for Financial Institutions (EU Framework)

### DELIVERED BY:

**Ilze Petersone FCCA, CIA, CAMS**

Risk and Compliance management professional with 20+ years of international experience in banking and finance.



### OBJECTIVES:

- Understand the meaning of Recovery Plan and its framework;
- Understand the role of Recovery Plan in financial institution;
- Understand the structure of Recovery Plan and minimum requirements to it;
- Get insight in qualitative and quantitative Recovery Plan indicators;
- Get insight in stress-testing techniques and required stress testing scenarios;
- Get insight in development of Recovery options;
- Get insight in effective Risk strategy preparation.



### SKILLS DELIVERED:

- Recovery-Plan Framework Design
- Institutional Role & Governance
- Indicator Development & Analysis
- Stress-Testing Methodology
- Recovery Options Identification
- Regulatory Compliance & Reporting
- Preparation of effective Risk strategy



### DESIGNED FOR:

- Risk Management Teams in Financial Industry
- Audit and Assurance Teams
- Legal and Regulatory Affairs Professionals
- Senior Management and Decision Makers

**COURSE PROGRAMME:**  
**RECOVERY PLANNING AND REPORTING REQUIREMENTS  
 FOR FINANCIAL INSTITUTIONS (EU FRAMEWORK)**

TOPIC	DURATION
<b>1. Recovery Plan Background</b> <ul style="list-style-type: none"> <li>• Definition and objectives of the Recovery Plan, together with an overview of the applicable legal framework.</li> </ul>	20 min.
<b>2. Determination of Critical Functions and Core Business Lines</b> <ul style="list-style-type: none"> <li>• Definition of Critical Functions and Core Business Line and Criteria Used to Identify Critical Functions and Core Business Lines.</li> </ul>	20 min.
<b>3. Structure of Recovery Plan</b> <ul style="list-style-type: none"> <li>• Detailed Description of Information Included in Each Section of Recovery Plan.</li> </ul>	20 min.
<b>4. Qualitative and Quantitative Recovery Plan Indicators</b> <ul style="list-style-type: none"> <li>• Capital Indicators;</li> <li>• Liquidity Indicators;</li> <li>• Profitability Indicators;</li> <li>• Asset quality Indicators;</li> <li>• Market based Indicators;</li> <li>• Macroeconomic Indicators.</li> </ul>	20 min.
<b>5. Recovery Plan Stress Testing Scenarios</b> <ul style="list-style-type: none"> <li>• Recovery Plan Stress Testing Scenarios Based on System-Wide Events, Recovery Plan Stress Testing Scenarios Based on Idiosyncratic Events, Examples of Stress Testing Scenarios.</li> </ul>	25 min.
<b>6. Development of Recovery Options</b> <ul style="list-style-type: none"> <li>• Impact Assessment Requirements and Feasibility Assessment Requirements.</li> </ul>	15 min.
<b>TOTAL</b>	<b>3 hours</b>



**Duration:**  
**3 hours (3 CPD Units)**



**Language:**  
**English**



**Delivery mode:**  
**Pre-Recorded**



**Skills level:**  
**Expert**

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