



Course: Internal Investigations: From Alert to Case Resolution – A Practical Guide

DELIVERED BY:

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OBJECTIVES:

- Strengthen specialists' ability to determine appropriate approaches across a range of AML internal investigation scenarios.
- Provide and consolidate the knowledge required for effective AML investigations through practical, experience-based learning.
- Enhance understanding of common money laundering risks, investigative triggers, and control gaps faced by financial institutions.
- Develop teams' capabilities to identify, assess, and investigate suspicious activities and potential AML breaches.
- Improve participants' confidence in handling alerts, conducting investigations, and making defensible decisions under uncertainty.



SKILLS DELIVERED:

- Understand the fundamentals of AML internal investigation frameworks and regulatory expectations.
- Recognize common money laundering methods and suspicious activity patterns.
- Conduct effective internal investigations of suspected suspicious activity.
- Identify key investigation triggers, control requirements, and organisational vulnerabilities.



DESIGNED FOR:

- AML/CFT compliance officers and MLROs
- Financial crime and fraud prevention specialists
- Risk management professionals
- Internal auditors and investigators
- Law enforcement and financial intelligence unit (FIU) analysts
- Senior management with oversight of AML/CTF framework
- FinTech, EMI, and virtual asset service provider (VASP) compliance staff

**COURSE PROGRAMME:
INTERNAL INVESTIGATIONS: FROM ALERT TO
CASE RESOLUTION – A PRACTICAL GUIDE**

TOPIC	DURATION
1. Introduction to Internal AML Investigations <ul style="list-style-type: none"> Lecturer Objectives Overview of internal investigations Importance and regulatory framework Key responsibilities of AML professionals 	50 min.
2. Identification of Unusual and Suspicious Activities <ul style="list-style-type: none"> Unusual vs Suspicious activities Red flags related to Customer behavior Red flags related to Operations Red flags related to Territories Practical Case Studies 	20 min.
3. Escalation, Decision-making and Reporting <ul style="list-style-type: none"> Escalation procedures and protocols Internal decision-making processes SAR/STR submission to FIU and follow-up actions Understanding Predicate Crime Practical Case Studies 	40 min.
4. Conclusions and frequently asked questions <ul style="list-style-type: none"> In this sections the conclusion of the course are presented and answered to FQAs. 	10 min.
TOTAL	2 hours



Duration:
2 hours (2 CPD Units)



Language:
English



Delivery mode:
Pre-Recorded



Skills level:
Intermediate

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